

# FACTS

## WHAT DOES TRUNORTH CAPITAL DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Account balances and transaction history
- Investment experience and assets

### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons TruNorth Capital chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does TruNorth Capital Share?	Can you limit sharing?
<b>For our everyday business purposes</b> – such as to process your transactions, maintain your account(s) or to respond to court orders or legal investigations.	YES	NO
<b>For our marketing purposes</b> – to offer our products and services to you.	YES	NO
<b>For joint marketing with other financial companies.</b>	NO	We don't share
<b>For our affiliates' everyday business purposes</b> – information about your transactions and experiences.	NO	We neither share nor have affiliates
<b>For our affiliates' everyday business purposes</b> – information about your creditworthiness.	NO	We neither share nor have affiliates
<b>For nonaffiliates to market to you</b>	NO	We don't share

### Questions?

Call 877-469-0446 or go to <http://www.trunorthcapital.com/legal/privacy-policy/>



Who we are	
Who is providing this notice?	TruNorth Capital Management, L.L.C. (TruNorth Capital)
What we do	
How does TruNorth protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secure files and buildings. To learn more about security at TruNorth, please visit: <a href="http://www.trunorthcapital.com/legal/privacy-policy/">http://www.trunorthcapital.com/legal/privacy-policy/</a> .
How does TruNorth Capital collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>• Request an Investment Strategy Proposal.</li> <li>• Seek our investment advisory services.</li> <li>• Make deposits or withdrawals from your account.</li> </ul>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes – information about your creditworthiness.</li> <li>• Affiliates from using your information to market to you.</li> <li>• Sharing for nonaffiliates to market to you.</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> <li>• TruNorth does not have any Affiliates.</li> </ul>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial or nonfinancial.</p> <ul style="list-style-type: none"> <li>• TruNorth does not share with nonaffiliates so they can market to you.</li> </ul>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>• TruNorth does not jointly market.</li> </ul>
Other important information	
<p><b>State Laws</b></p> <p>If you are a Vermont resident, we will automatically limit sharing of your information. Nevada law requires us to disclose that you may request to be placed on TruNorth's internal "do not call" list at any time by calling 877-469-0446, and that we are providing this notice to you pursuant to state law, and that you may obtain further information by contacting the Nevada Attorney General, 555 E. Washing Ave., Suite 3900, Las Vegas, NV 89101; phone 702-486-3132; email <a href="mailto:BCPINFO@ag.state.nv.us">BCPINFO@ag.state.nv.us</a>.</p>	